

FORM PTO-1390  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**GLN-032US**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/527897**

INTERNATIONAL APPLICATION NO.  
**PCT/CH02/00150**

INTERNATIONAL FILING DATE  
**13 March 2002**

PRIORITY DATE CLAIMED  
**20 March 2001**

TITLE OF INVENTION **DEVICE FOR LIMITING ACCESS TO A CONFINED SPACE**

APPLICANT(S) FOR DO/EO/US **MONNIER, Jean-Luc**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto:
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
9. ☐ A language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 13 to 20 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐

Form PCT/IB/308  
Return Receipt Postcard

**Deposited by U.S. Express Mail No. ER275611307US on 19 September 2003**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/527897</b>	INTERNATIONAL APPLICATION NO. <b>PCT/CH02/00150</b>	ATTORNEY'S DOCKET NUMBER <b>GLN-032US</b>
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1060.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$900.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO ..... \$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$720.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>CALCULATIONS PTO USE ONLY</b>          <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 900.00</td> <td style="width: 40%;"></td> </tr> <tr> <td>\$ 0.00</td> <td></td> </tr> </table>	\$ 900.00		\$ 0.00																														
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Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 0.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 0.00																																
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 0.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 0.00																																
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a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 11-0227 in the amount of \$ 492.00 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 11-0227. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

Deborah G. VandenHoff  
 Van Tassel & Associates  
 P. O. Box 2928  
 Bellaire, TX 77402-2928  
 Customer No. 26003

SIGNATURE: \_\_\_\_\_  
 Deborah G. VandenHoff  
 NAME  
 45176  
 REGISTRATION NUMBER

3-15-05

10/527892

12 Rec'd PCT/PTO 14 MAR 2005

15866 U.S. PTO  
031405

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.	PCT/CH02/00150	Group Art Unit	
Filing Date	13 March 2002	Examiner Name	
First Named Inventor	Jean-Luc MONNIER	Attorney Docket No.	GLN-032US
Title: DEVICE FOR LIMITING ACCESS TO A CONFINED SPACE			

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 14, 2005

ATTENTION: PAULETTE R. KIDWELL


Dear Sir:

This is further to telephone conversations with Paulette Kidwell March 7-11. As requested on March 4, enclosed is a copy of the submission originally filed in the U.S. Patent Office under 35 U.S.C. § 371 on September 19, 2003.

Please contact the undersigned for any additional information. I look forward to receiving the Notice of Acceptance at your earliest convenience.

Respectfully submitted,

By:



Deborah G. Vandenhoff  
Agent for the Applicant  
Registration No. 45,176

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Fax: (713) 839-1790

U.S. EXPRESS MAIL

U.S. Express Mail No: EO908086979US

Date of Deposit: March 14, 2005

This correspondence is being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450